PTO/SB/08A (09-05)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 10/654,668

Fiting Date 09/04/2003

First Named Inventor Brian A. ROSENFELD

Art Unit 3626

Examiner Name MORGAN, Robert W.

Attorney Docket Number 2483-001CIP1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	-	US-			
		US-		· · · · · · · · · · · · · · · · · · ·	
		US-			
		US-		<u> </u>	

			N PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ³ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
Rush		WO 98/29790	07-09-1998	Schoenberg, et al.		
	ļ			<u></u>		
			- 			Щ
	i		ļ		1	

Examiner Signature	Robert	Marson	Date Considered	4/30/07
	1 600	I TOKAN	<u> </u>	11 200

"EXAMINER: Initial if reference considered, whether/or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Attorney Docket No. 2483-001CIP1 Supplemental IDS Filed April 5, 2007 Papplication No. 10/654,668



SUPPLEMENTAL IDS STATEMENT – NON-PATENT LITERATURE DOCUMENTS

Exam. Init.	CITE NO.	Reference
	1.	Tsien, Christine L., "TrendFinder: Automated Detection of
 		Alarmable Trends", Department of Electrical Engineering and
RWM		Computer Science, Massachusetts Institute of Technology,
	·	Massachusetts; June 2000.
	2.	Hosseinzadeh, Abolfazl, "A Rule-Based System for Vital Sign
		Monitoring in Intensive Care", Department of Electrical Engineering,
		McGill University, Montreal; November 1993.
	3.	Aukburg, S.J. et al., "Automation of Physiological Data Presentation
		and Alarms in the Post Anesthesia Care Unit." In Symposium on
		Computer Applications in Medical Care, November 5-8, 1989,
		Washington, DC; pgs 580-582.
	4.	Benis, A. M. et al., "Improved Detection of Adverse Cardiovascular
		Trends with the Use of a Two-Variable Computer Alarm" Critical
		Care Medicine, Vol. 8, No. 2, June 1980: 341-344.
	5.	Bierman, M. 1. et al., "Pulse Oximetry in the Postoperative Care of
		Cardiac Surgical Patients; A Randomized Controlled Trial." Chest,
		Vol. 102, No. 5, November 1992: 1367-1370.
	· 6.	Bradshaw, K. E., "Computerized Alerting System Warns of
		Life-Threatening Events." In Symposium on Computer Application
		in Medical Care, October 25-26, 1986, Washington, DC; pgs
		403-409.
	7.	Chizeck, H. J., "Modeling, Simulation and Control in a Data Rich
	•	Environment." In Symposium on Computer Applications in Medical
		Care, October 25-26, 1986, Washington, DC; pgs 65-69.
	8.	Coiera, E., "Intelligent Monitoring and Control of Dynamic
]]		Physiological Systems." Artificial Intelligence in Medicine, Vol. 5,
		1993: pp 1-8.
	9.	Colvin, J. R. et al., "Microcomputer-Controlled Administration of
		Vasodilators Following Cardiac Surgery: Technical Considerations."
		J. Cardiothoracic Anesthesia, Vol. 3, No. 1, February 1989: pp
 	10	10-15.
	10.	Coplin, W. M. et al., "Accuracy of Continuous Jugular Bulb
		Oximetry in the Intensive Care Unit." Neurosurgery, Vol. 42, No. 3,
 	11	March 1998: 533-540.
RWM	11.	Crew, A. D. et al., "Preliminary Clinical Trials of a Computer-Based
I MM		Cardiac Arrest Alarm." Intensive Care Med, Vol. 17, 1991: 359-364.

Exam. Init.		CITE NO.	Reference
		12.	Garfinkel, D. et al., "PONI: An Intelligent Alarm System for
Run			Respiratory and Circulation Management in the Operating Rooms."
			In Symposium on Computer Applications in Medical Care,
1/0	JUL	`	November 6-9, 1988, Washington, DC; pgs 13-17.
		13.	Garfinkel D. et al., "Patient Monitoring in the Operating Room:
			Validation of Instrument Reading by Artificial Intelligence
		•	Methods." In Symposium on Computer Applications in Medical
			Care, November 5-8, 1989, Washington, DC; pgs 575-579.
		14.	Guedes de Oliveira, P. et al., "The Role of Computer Based
			Techniques in Patient Monitoring: Technical Note." Acta Neuorchir,
,	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		Vol. 55, 1992 (Suppl.): 18-20.
		15.	Hahnel, J. et al., "Can a Clinician Predict the Technical Equipment a
			Patient will Need During Intensive Care Unit Treatment? An
			Approach to Standardize and Redesign the Intensive Care Unit
	1		Workstation." J Clinical Monitoring, Vol. 8, No. 1, January 1992:
	\		1-6.
•		16.	Hall, G. L. & P.B. Colditz, "Continuous Physiological Monitoring:
			An Integrated System for Use in Neonatal Intensive Care."
	1		Australian Physical & Engineering Sciences in Medicine, Vol. 18,
			No. 3, 1995; 139-142.
		17.	Hayes-Roth, B. et al., "Guardian: An Experimental System for
		•	Intelligent ICU Monitoring." In
			Symposium on Computer Applications in Medical Care, November
			5-9, 1994, Washington, DC; pg 1004.
	1	18.	Irazuzta, Jose, "Monitoring in Pediatric Intensive Care." Indian J.
			Pediatrics, Vol. 60, 1993: 55-65.
		19.	Jans, R. et al., "A Low Cost ECG Central Station for Intensive Care."
			Australian Physical &
			Engineering Sciences in Medicine, Vol. 13, No. 1, 1990: 31-35.
		20.	Jastremski, M. et al., "A Model for Technology Assessment as
			Applied to Closed Loop Infusion Systems" Critical Care Medicine,
	•		Vol. 23, No. 10, October 1995: 1745-1755.
		21.	Klass, M. A. & E. Y. Cheng, "Early Response to Pulse Oximetry
			Alarms with Telemetry." J. Clinical
			Monitoring, Vol. 10, No. 3, May 1994: 178-180.
		22.	Koski, E. M. J. et al., "A Knowledge-Based Alarm System for
			Monitoring Cardiac Operated Patients – Assessment of Clinical
\ \ \ \			Performance." International J Clinical Monitoring and Computing,
		22	Vol. 11, 1994: 79-83.
1	!	23.	Koski, E. M. J. et al., "Development of an Expert System for
Ω.	NV		Haemodynamic Monitoring: Computerized Symbolism of On-Line
1/1	nl, /		Monitoring Data." International J. Clinical Monitoring and
			Computing, Vol. 8, 1992: 289-293.

Exam. Init.	CITE NO.	Reference
	24.	Laffel, G. et al., "Using Control Charts to Analyze Serial
RUM		Patient-Related Data." Quality
110/1		Management in Health Care, Vol.3, No. 1, Fall 1994: 70-77.
25.		L'Estrange, P. R. et al., "A Microcomputer System for Physiological
		Data Collection and Analysis." Australian Dental Journal, Vol. 3 8,
[]		No. 5, October 1993: 400-405.
	26.	M. de Beer, N. A. et al., "Clinical Evaluation of a Method for
		Automatic Detection and Removal of Artifacts
		in Auditory Evoked Potential Monitoring." J Clinical Monitoring,
		Vol. 11, No. 6, November 1995: 381-391.
	27.	Makivirta, A. et al., "The Median Filter as a Preprocessor for a
		Patient Monitor Limit Alarm System in Intensive Care." Computer
		Methods and Programs in Biomedicine, Vol. 34, No. 2/3,
.] [February/March 1991: 139-144.
	28.	Makivirta, A. & E. M. J. Koski, "Alarm-Inducing Variability in
		Cardiac Postoperative Data and the Effects of Prealarm Delay."
		Critical Care Medicine, Vol. 8, No. 6, May 1994: 153-162
	29.	Martin, J. F., "Closed-Loop Control of Arterial Pressure During
		Cardiac Surgery." J. Clinical Monitoring, Vol. 8, No. 3, July 1992:
		252-253.
	30.	Meyer, C., "Visions of Tomorrow's ICU." American J. Nursing,
		April 1993: 27-3 1.
	31.	Nenov, V. I. et al., "Computer Applications in the Intensive Care
1		Unit." Neurosurgery Clinics of North America, Vol. 5, No. 4,
		October 1994: 811-827.
1	32.	Nobel, J. J., "Physiologic Monitoring Systems, Acute Care."
		Pediatric Emergency Care, Vol. 8, No. 4, August 1992: 235-237.
1 1	33.	Orr, J. A. & Westenskow, D. R., "A Breathing Circuit Alarm System
	,	Based on Neural Networks." J. Clinical Monitoring, Vol. 10, No. 2,
		March 1994: 101-109.
1 1	34.	Pappert, D. et al., "Preliminary Evaluation of a New Continuous
		Intra-Arterial Blood Gas Monitoring Device." Acta
		Anaesthesiologica Scandinavica, Suppl. 107, Vol. 39, 1995: 67-70.
	35.	Rampil, I. J., "Intelligent Detection of Artifact." The Automated
		Anesthesia Record and Alarm Systems, Chapter 17, 1987: 175-190.
	36.	Runciman, W. B. et al., "The Pulse Oximeter: Applications and
		Limitations - An Analysis of 2000 Incident Reports." Anaesthesia
		and Intensive Care, Vol. 2 1, No. 5, October 1993: 543-550.
(37.	Sailors, R. M., "A Model-Based Simulator for Testing Rule-Based
,		Decision Support Systems for Mechanical Ventilation of ARDS
Rwin	Rum Patients." In Symposium on Computer Applications in Med	
·		November 5-9, 1994, Washington, DC; pg 1007.

Exam. Init.	CITE NO.	Reference
RWM	38.	Sanklecha, M., "The Pulse Oximeter." <i>Indian J. Pediatrics</i> , Vol. 60, No. 3, 1993: 469-470.
	39.	Schnapp, L. M. & N. H. Cohen, "Pulse Oximetry; Uses and Abuses." Chest, Vol. 98, No. 5, November 1990: 1244-1250.
	40.	Simpson, R. L., "Automating the ICU: Facing the Realities." Nursing Management, Vol. 23, No. 3, March 1992: 24-26.
	41.	Sittig, D. F. & M. Factor, "Physiological Trend Detection and Artifact Rejection: A Parallel Implementation of a Multi-State Kalman Filtering Algorithm." In Symposium on Computer Applications in Medical Care, November 5-8, 1989, Washington, DC; pgs 569-574.
	42.	Stoodley, K. D. C. et al., "Problems in the Development of a Computerized Ward Monitoring System for a Pediatric Intensive Care Unit." <i>International J Clinical Monitoring and Computing</i> , Vol. 8, 1992: 281-287.
	43.	Sukavaara, T. et al., "A Knowledge-based Alarm System for Monitoring Cardiac Operated Patients - Technical Construction and Evaluation." <i>International J. Clinical Monitoring and Computing</i> , Vol. 10, 1993: 117-126.
	44.	Szaflarski, N. L., "Emerging Technology in Critical Care: Continuous Intra-Arterial Blood Gas Monitoring." <i>American J. Critical Care, Vol.</i> 5, No. 1, January 1996: 55-65.
	45.	Uckun, S., "Intelligent Systems in Patient Monitoring and Therapy Management." <i>International J. Clinical Monitoring and Computing</i> , Vol. 11, 1994: 241-253.
	46.	Webb, R. K., "Medical Decision Making and Decision Analysis." Anesthesia and Intensive Care, Vol. 16, No. 1, February 1988: 107-109.
	47.	Yien, H. et al., "Spectral Analysis of Systemic Arterial Pressure and Heart Rate Signals as a Prognostic Tool for the Prediction of Patient Outcome in the Intensive Care Unit." <i>Critical Care Medicine</i> , Vol. 25, No. 2, 1997: 258-266.
	48.	Tsien, Christine L. and James Fackler, "Poor Prognosis for Existing Monitors in the Intensive Care Unit" <i>Critical Care Medicine</i> , Vol. 25, No. 4, 1997: 614-619.
	49.	Tsien, Christine L "Reducing False Alarms in the Intensive Care Unit: A Systematic Comparison of Four Algorithms" Proceedings AMIA Symposium, 1997. Pages 9-14 (unnumbered).
RWM	50.	Tsien, Christine L. "Reducing False Alarms in the Intensive Care Unit: A Systematic Comparison of Four Algorithms" Proceedings Annual AMIA Fall Symposium (1997), page 8 94.

Attorney Docket No. 2483-001CIP1 Supplemental IDS Filed April 5, 2007 Application No. 10/654,668

Exam. Init. CITE NO.		Reference	
	51.	Tsien, Christine L. and James C. Fackler "An Annotated Data	
		Collection System to Support Intelligent Analysis of Intensive Care	
RWM		Unit Data." Proceedings of the Second International Symposium on	
1/w/·		Advances in Intelligent Data Analysis, Reasoning about Data;	
		August 4-6, 1997; X. Liu, P. R. Cohen, and M. R. Berthold, Eds.;	
		Springer-Verlag, London, UK; pages I I 1- 12 1.	
- -	52.	Zhao, Ruilin, "A Model-Based Expert System for Interpretation of	
RWM		Hemodynamic Data from ICU Patients." Department of Electrical	
ישרו		Engineering and Computer Science, Massachusetts Institute of	
		Technology; May 18, 1997 (pp 1- 12 1).	